



Anchor Capital Management Group Inc.

Privacy Policy

Anchor Capital Management Group Inc., is an SEC registered investment adviser located in Aliso Viejo, California. We consider client privacy to be a fundamental aspect of our relationship with every client. We are committed to maintaining the confidentiality, integrity and security of our current, prospective and former client's personal and confidential information. We also know that you expect us to service you in the most accurate and efficient manner. This requires us to collect and maintain certain personal and confidential information to provide client services and comply with all legal and regulatory requirements. We want you to know what information we collect and how we use and safeguard that information.

The Collection of Information

The firm's process in servicing our clients requires certain nonpublic personal and confidential information about you (such as your name, address, social security number, etc.) from information that you provide on applications or other forms as well as communications (electronic, telephone, written or in person) with you or your authorized representatives. We also collect information about your accounts and transactions (such as purchases, sales, account balances, inquiries, etc.).

Information Disclosures

We do not disclose nonpublic and confidential personal information we collect about our clients to anyone except: (i) in furtherance of our business relationship which we conduct on their behalf, and then only to those persons necessary to effect the transactions and provide the services that they authorize (such as broker-dealers, custodians, independent managers etc.); (ii) to persons assessing our compliance with industry standards (e.g., professional licensing authorities, etc.); (iii) our attorneys, accountants, and auditors (to the extent necessary); or (iv) as otherwise provided by law. We are permitted by law to disclose the nonpublic and confidential personal information about you to governmental agencies and other third parties in certain circumstances. These third parties are prohibited to use or share the information for any other purpose. If you decide at some point to either terminate our services or become an inactive client, we will continue to adhere to our privacy policy, as may be amended from time to time.



Anchor Capital

Opting Out Notice

We do not sell, share or disclose your personal information to non-affiliated third-party marketing companies. Clients cannot opt out of allowing Anchor Capital to share their nonpublic personal information with non-affiliated third parties which are necessary to service their accounts such as Custodians, Third Party Administrators or certain software vendors. We do not share client information with any third parties that do not need the information to provide some type of service for your account.

Confidentiality of Your Information

We restrict access to your nonpublic personal and confidential information to those employees who need to know that information to service your account. We maintain physical, electronic and procedural safeguards that comply with applicable federal and state standards to protect your nonpublic personal and confidential information. Finally, to further safeguard client information digitally, we maintain password protected systems, updated anti-virus and anti-spyware software, encrypted hardware and software firewalls.

In compliance with the Securities and Exchange Commission's Privacy Regulations for Investment Advisers, we are required to provide clients with this privacy notice. Effective Date: April 2019.